

Summary of latest Registration Audit Information

Date of Audit: 26-27 November 2014

Qualifications Audited:

FNS40611 Certificate IV in Accounting

FNS50611 Diploma of Financial Planning

FNS60410 Advanced Diploma of Financial Planning

Audit outcome:

| Standard | Original finding | Finding following rectification | Summary of actions |
|--------------------------|-------------------------|----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | |
| SNR 17 Compliant | N/A | N/A | N/A |
| SNR 19 Not audited | N/A | N/A | N/A |
| SNR 20 Compliant | N/A | N/A | N/A |
| SNR 21 Compliant | N/A | N/A | N/A |
| SNR 22 Compliant | N/A | N/A | N/A |
| SNR 23/AQF Not compliant | Not compliant | Compliant | Expanded awards register |
| SNR 24 Not compliant | Not compliant | Compliant | Updated Marketing review strategy |
| SNR 25 Not compliant | Not compliant | Compliant | Transition of training package policy updated |
| SNR 16 Not compliant | Not compliant | Compliant | Student information guide and policies updated |
| SNR 15 | Not compliant | Compliant | - Continuous Improvement register and policy updated - Training and Assessment Strategy documents updated - Professional Development log updated - Student & Assessor instructions updated |
| SNR 18 Not compliant | Not compliant | Compliant | See all of the above |