

une

University of
New England



Study Financial Planning at UNE

Your pathway to FASEA accreditation

- Learn from practising financial advisers
- Ranked Number One Online University
- Advanced standing for graduates of Monarch Institute (or equivalent training organisations*)

* Entry not guaranteed.



Monarch Institute recommends UNE

Graduate Diploma in Financial Planning



^ Refer to definition at www.fasea.gov.au

The Graduate Diploma in Financial Planning is designed to be the fastest pathway to meet the new FASEA education requirements. It is suitable for either existing advisers needing to upskill, or new entrant 'career changers'.

It's packed full of advanced-level topics with immediate application for advice professionals.

There are 8 units in this qualification for new entrant career changers, and some existing advisers. However, FASEA have provided guidelines on advanced standing (i.e. credits) available for existing advisers with other qualifications. Please go to FASEA website for more information or get in touch with us.

Number of units: 8*

Core
Professional Ethics
Issues in Commercial and Financial Services Law
Behavioural Finance and Investment Portfolio Management
Investment Fundamentals and Financial Advice Principles
Superannuation and Retirement Planning Strategies and Advice
Insurance Advice, Risk Management and Estate Planning
Financial Advice Construction and Client Communication Simulation
Taxation Law for Financial Services



Am I eligible?



You are an **EXISTING ADVISER**^, **OR**



You are **NEW ENTRANT (CAREER CHANGER)**^

^ Refer to definition on page 4

* Advanced standing (i.e. credits) may apply

Note : University admission and course rules apply



To discuss your unique pathway to university study, call Cynthia Shannon (UNE Financial Planning Lecturer)

0409 512 349



For more information or to request a call back, email Cynthia Shannon

cshanno5@une.edu.au



University of New England
CRICOS Provider Number 00003G



Your financial planning pathway

Are you new to the financial planning industry? Or maybe you have already completed a Diploma or Advanced Diploma of Financial Planning. Either way, Monarch Institute recommends the University of New England (UNE) to make it easier for you to take your next step towards a career in financial planning.

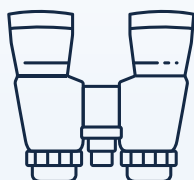
Depending on what you've studied and your previous work experience, there are three options. You also may be entitled to receive advanced standing (similar to unit credits). Options include:

- **Option 1 - Bridging Course (as per FASEA Guidelines)***
- **Option 2 - Graduate Diploma in Financial Planning***
- **Option 3 - Bachelor of Commerce (Financial Planning)^**

* Approved by FASEA

^ Has been submitted for approval to FASEA, but is not yet approved by FASEA.

UNE at a glance



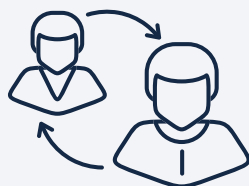
Take Australia's only university-level courses on the critical emerging practice areas such as Aged Care Advice.



Run through every aspect of the client journey in more detail than ever with a financial planning simulation unit.



Receive advanced standing for your previous study and work experience for a certain number of units if offered a place in a UNE course.



Join a community of over 100,000 proud alumni.



UNE ranked Number One in Online Study by Australia's Online University Rankings.



Option to attend a University of New England graduation ceremony (Autumn, Spring or Summer).

Pathways of opportunity

- Covers new practice areas including units such as Aged Care Advice and Self-Managed Superannuation Funds.
- Advanced standing offered where applicable.

Why University of New England?

UNE commenced as a University in 1955 making it the ninth oldest of Australia's 40 public universities. UNE has been providing quality distance education for decades and is now recognised and awarded in delivering excellence in online education solutions.

- Ranked number one – voted Australia's best online university[^]
- Flexibility - fit study around work, family, life.
- Enrol when it suits you – 3 start dates per annum (March, July, November)
- Quality – contemporary curriculum using latest case studies and best practice teaching methodology
- Unrivalled practical financial advice curriculum
- Advanced standing (i.e. credit) available
- Fee HELP available

[^] By Online Study Australia



FASEA Definitions

As you read this brochure, certain definitions are used that have a specific meaning by FASEA. These FASEA definitions are taken directly from www.fasea.gov.au

Approved Degree: A FASEA approved Bachelor Degree (AQF 7) or Graduate Diploma (AQF 8) or Master Degree (AQF 9) in Financial Planning.

Relevant Degree: A bachelor degree or higher qualification in a related field of study that contains at least 8 courses in one or more of the designated fields of study in any combination – financial planning (includes financial advice areas of superannuation, retirement, insurance, estate planning), investments (includes all types of investments (e.g. shares, derivatives, foreign exchange, options etc.)), accounting, taxation/tax law (as defined by the Tax Practitioners Board (TPB)), finance law, finance, business law (as defined by the TPB), estate law, banking and economics.

Non-relevant Degree: A bachelor degree or higher qualification in a NON-RELATED field of study that DOES NOT contain at least 8 courses in one or more of the designated fields of study in any combination – financial planning (includes financial advice areas of superannuation, retirement, insurance, estate planning), investments (includes all types of investments (eg shares, derivatives, foreign exchange, options etc.)), accounting, taxation/tax law (as defined by the Tax Practitioners Board (TPB)), finance law, finance, business law (as defined by the TPB), estate law, banking and economics.

Existing Adviser*: The Corporations Act sets out who an existing adviser is for the purposes of the Act. An existing adviser is an individual who meets this definition (s1546A):

"existing adviser" means:

- (a) a person who:
 - (i) is a relevant provider at any time between 1 January 2016 and 1 January 2019 (except a person who has ceased to be a relevant provider under subsection 1546B(4) or (5)); and
 - (ii) is not banned, disqualified or suspended under Division 8 of Part 7.6 on 1 January 2019;

OR

- (b) a person who:
 - (i) at any time between 1 January 2016 and 1 January 2019, provides personal advice in a foreign country to retail clients in relation to relevant financial products; and
 - (ii) is not prohibited under the law of the foreign country from providing such advice on 1 January 2019

New entrant: A new entrant is an individual who does not meet the definition of an existing adviser under section 1546A of the Corporations Act.

For new entrants there are two pathways – an undergraduate pathway and a postgraduate pathway. The undergraduate pathway has no prerequisites for entry. The postgraduate pathway is designed for those with tertiary qualifications in another field and/or substantial work experience (minimum 3 years) in a related field at the discretion of the Education Providers' post-graduate entry policy (the career changer pathway).

*Refer to FASEA legislative instrument for more details at www.fasea.gov.au

Option 1

Bridging Course*

(as per FASEA Guidelines)

This is a suitable pathway for existing advisers who hold a university degree. Depending on the type of degree held and other completed FASEA approved course work (i.e. CFP Program - FPA, ChFP Program - AFA, ADFP from an RTO)^, advisers will be required to complete 1 or all 3 bridging course units.

* Has been approved by FASEA.

^ The FASEA approved course work is date specific. Contact us for more details.

Students with an APPROVED or RELEVANT degree

Number of units: 1

Core
Professional Ethics

Am I eligible?

- You are an existing adviser with a **FASEA APPROVED** degree, **OR**
- You are an existing adviser with a **RELEVANT** degree + **Advanced Diploma of Financial Planning** (ADFP) from an RTO or TAFE, **OR**
- You are an existing adviser with a **RELEVANT** degree + **FASEA approved coursework** to attain designation (e.g. completed CFP coursework, or ChFP Program coursework after specified dates^)

^ Refer to www.fasea.gov.au for specific coursework dates.

Students with a NON-RELEVANT degree

Number of units: 3

Core
Professional Ethics
Issues in Commercial and Financial Services Law
Behavioural Finance and Investment Portfolio Management

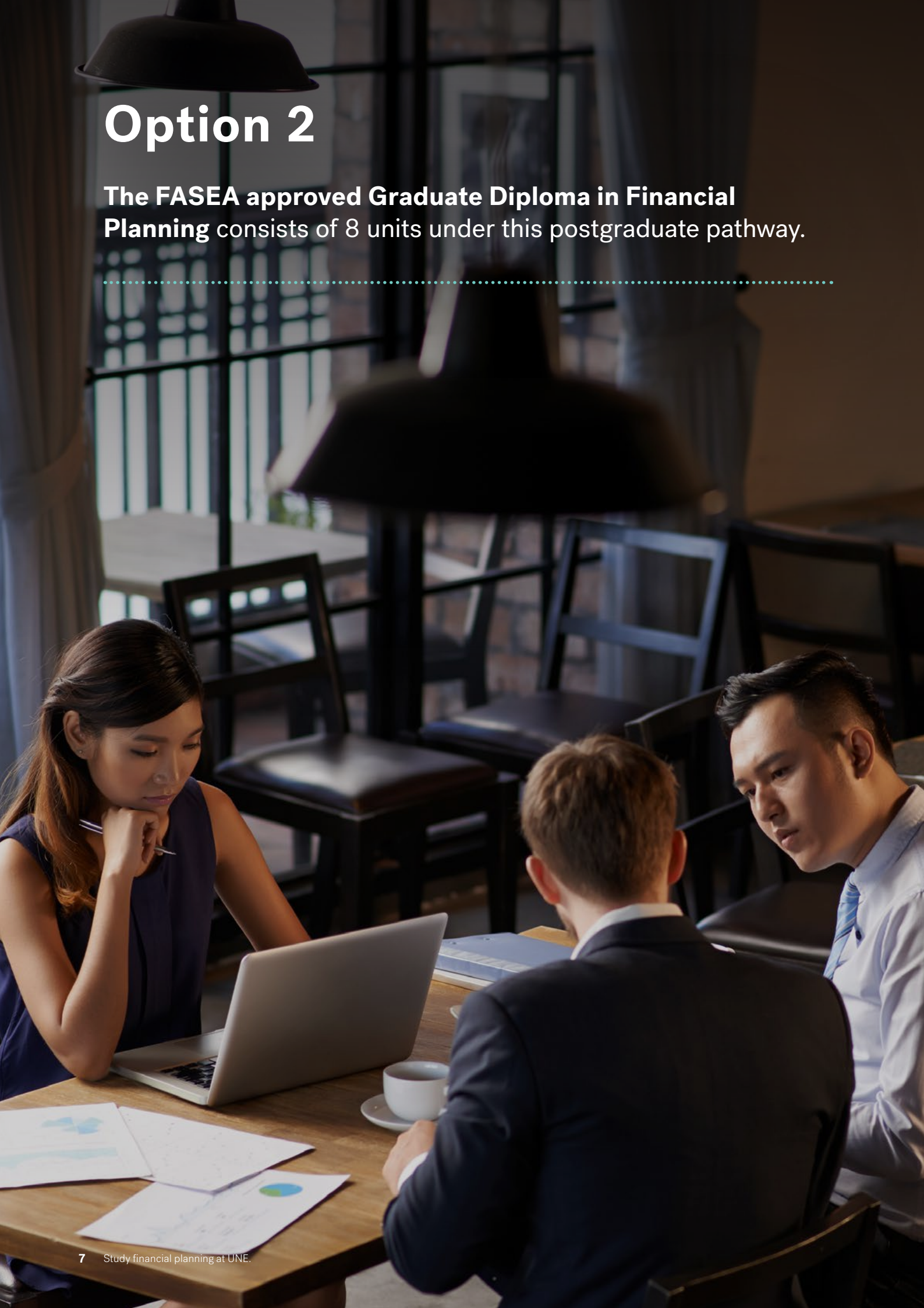
Am I eligible?

- You are an existing adviser with a **NON-RELEVANT** degree + an **Advanced Diploma of Financial Planning** (ADFP) from an RTO or TAFE, + **FASEA approved coursework** to attain designation (e.g. completed CFP coursework, or ChFP Program coursework after specified dates^)

^ Refer to www.fasea.gov.au for specific coursework dates.

Option 2

The FASEA approved **Graduate Diploma in Financial Planning** consists of 8 units under this postgraduate pathway.



Option 3

Bachelor of Commerce (Financial Planning)*

The Bachelor of Commerce (Financial Planning) at UNE is designed to give you the real-world skills you'll need to excel as a financial adviser. You'll undertake learning activities that allow you to apply your knowledge in realistic workplace scenarios. This means you'll graduate with skills that show off your critical thinking abilities, helping you stand out from the crowd. Advanced standing (i.e. credits) may be available if you have previous financial services experience and/or qualifications. Depending on your experience and other qualifications, you'll receive advanced standing (i.e. credits) for between 8 and 12 units. The Bachelor of Commerce (Financial Planning) is designed to meet FASEA curriculum requirements* and is assessed at Australian Qualifications Framework (AQF) level 7. Refer to page 10 to see how many credits may apply.

* UNE Bachelor of Commerce (Financial Planning) has been submitted for accreditation to FASEA, but is not yet accredited by FASEA.

Number of units: 24

Core	+	Electives	
Professional Ethics		Farm and Resource Management	Intergenerational Advice
Issues in Commercial and Financial Services Law		Human Resource Management	Banking and Finance
Behavioural Finance and Investment Portfolio Management		International Political Economy	Social Security Advice
Investment Fundamentals and Financial Advice Principles		Introduction to Financial Accounting	Employment Relations
Superannuation and Retirement Planning Strategies and Advice		Financial Instruments and Markets	Business Statistics
Insurance Advice, Risk Management and Estate Planning		Accounting Information Systems	Introductory Finance
Financial Advice Construction and Client Communication Simulation		Small Business Management	Corporate Finance
Taxation Law for Financial Services		The International Economy	Financial Statement Analysis
Self-Managed Superannuation Funds		Managing People and Organisations	Small Business Finance
Aged Care Advice		Communication for Business	Fraud Prevention and Investigation
		Integrated Marketing Communications	International Financial Management
		Security Analysis and Portfolio Management	Australian Economic Institutions and Performance
		Fundamentals of Marketing	

Designed to meet FASEA requirements*

Am I eligible?

- This option is great for **NEW ENTRANTS** into the financial planning industry, **OR**
- You are an **EXISTING ADVISER** that chooses not to undertake a Graduate Diploma in Financial Planning



Undergraduate or Postgraduate ...which option?

- Am I eligible?
- How much advanced standing (i.e. credit) will you get?
- How many units will you need to complete?

Option 2 Graduate Diploma in Financial Planning

Entry criteria:

The Graduate Diploma in Financial Planning is open to Existing Advisers (EA's) or New Entrant (Career Changers). Please see the definitions for both on page 4.

The criteria for allowing a New Entrant (Career Changer) into the Graduate Diploma of Financial Planning is dependent on University admission rules. The following criteria applies, and you can always call UNE to discuss your specific circumstances for clarity.

A new entrant (career changer) would be eligible if:

They hold any Bachelor degree or higher,

or

They have sufficient experience in a financial services related field (e.g. paraplanning, financial planning compliance, insurance consulting, superannuation consulting, estate planning, tax advisory, funds management, financial consulting, banking).

Units required

Below is a guideline outlining the number of units required to meet the FASEA education requirements (www.fasea.gov.au)

Pathway		Required units to complete							
EA - Relevant degree	FASEA 3 Bridging Course + 1 FASEA Approved Unit (4 credits for degree)	4							
EA - No degree + ADFP + approved coursework to attain designation	Approved GDip less 4 credits for ADFP and approved coursework undertaken to attain designation	4							
EA - Non-relevant degree + ADFP	Approved GDip less 3 credits for degree and ADFP	5							
EA - Non-relevant degree + approved coursework to attain designation	Approved GDip less 3 credits for degree and approved coursework undertaken to attain designation	5							
EA - No degree + ADFP	Approved GDip less 2 credits for ADFP	6							
EA - No degree + approved coursework to attain designation	Approved GDip less 2 credits for approved coursework undertaken to attain designation	6							
EA - Non-relevant degree	Approved GDip less 1 credit for degree	7							
EA - No degree	Approved GDip	8							
New Entrant - Career charger	Approved GDip	8							

Undergraduate or Postgraduate ...which option?

- How much advanced standing (i.e. credit) will you get?
- How many units will you need to complete?
- What fee structure applies?

Option 3 Bachelor of Commerce (Financial Planning)


What is your highest completed qualification?



Best option

	Diploma of Financial Planning	Advanced Diploma of Financial Planning
Advanced standing	8-12	12
Units to complete	12-16	12
Fee structure	CSP*	CSP*

* The Australian Government subsidises a Commonwealth Supported Place (CSP) by paying part of the fees directly to the university.
Please note: Advanced standing is based on individual applications where experience and qualifications are assessed.

A person in a business suit is reviewing financial documents and a tablet in an office setting. The person is holding a tablet displaying a market analysis chart. In the background, there is a laptop, a tablet, and a small potted plant on a desk. The scene is lit with warm, soft light, suggesting an indoor office environment.

UNE and You:
The pathway to your
financial planning
future.



Still not sure?

We get it – the new education compliance requirements can seem complicated. We've got your back. Have a chat to a specialist course consultant at UNE to discuss your options and obligations.



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0409 512 349



For more information or to request a call back, email Cynthia Shannon

cshanno5@une.edu.au

